







APPLICATION NUMBER

FILING/RECEIPT DATE

United States Patent and Trademark Office

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/826,581

04/05/2001

Leif Andersson

11145-007001

#4

**CONFIRMATION NO. 3008** 

**FORMALITIES LETTER** 

OC000000006071967\*

MARK S. ELLINGER, PH.D. Fish & Richardson P.C., P.A. **Suite 3300** 60 South Sixth Street Minneapolis, MN 55402

Date Mailed: 05/14/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

# FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821 (f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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# For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Attorney's Docket No.: 11145-007001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leif Andersson et al.

Art Unit : 1645

Serial No.: 09/826,581

Examiner: Unknown

Filed

: April 5, 2001

Title

: VARIANTS OF THE HUMAN AMP-ACTIVATED PROTEIN KINASE

**GAMMA 3 SUBUNIT** 

Commissioner for Patents ashington, D.C. 20231

### TRANSMITTAL LETTER

Correspondence relating to this application is enclosed.

- 1. Response to Notice to File Missing parts of Application (2 pages);
- Copy of Notice to File Missing parts of Application (2 pages); 2.
- Check for \$1,045 for payment of \$65 surcharge for filing the basic filing fee 3. and/or declaration on a date later than the filing date of the application and for payment of \$980 for Petition for Five-Month Extension of Time;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63 4. (2 pages);
  - Paper copy of Sequence Listing Under 37 CFR §1.823 (8 pages); 5.
  - 6. Sequence Listing on computer readable diskette;
  - 7. Verified Statement Under 37 CFR §1.821(f) (1 page);
  - 8. Statement Under 37 CFR §1.821(g) (1 page);
  - 9. Petition for Five-Month Extension of Time;

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Depos

Signatur

Kathryn J. Sanderson

Typed or Printed Name of Person Signing Certificate

Applicant: Leif Andersson et al.

Serial No.: 09/826,581 Filed: April 5, 2001

Page : 2

10. Preliminary Amendment; and

11. Postcard.

The required fees are enclosed. Please apply any charges not covered, or any credits, to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 12/14/0/

Monica McCormick Graham, Ph.D.

Attorney's Docket No.: 11145-007001

Reg. No. 42,600

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Attorney's Docket No.: 11145-007001

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leif Andersson et al.

Art Unit: 1645

Serial No.: 09/826,581

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Examiner: Unknown

Filed

: April 5, 2001

Title

: VARIANTS OF THE HUMAN AMP-ACTIVATED PROTEIN KINASE

**GAMMA 3 SUBUNIT** 

#### **BOX MISSING PARTS**

Commissioner for Patents Washington, D.C. 20231

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed May 14, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- Check for \$1,045 for payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application and for payment of \$980 for Petition for Five-Month Extension of Time;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63 (2 pages);
- Paper copy of Sequence Listing Under 37 CFR §1.823 (8 pages);
- Sequence Listing on computer readable diskette;
- ☑ Verified Statement Under 37 CFR §1.821(f) (1 page); and
- ☑ Verified Statement Under 37 CFR §1.821(g) (1 page).

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Date of Deposit

Signature

Kathryn J. Sanderson

Typed or Printed Name of Person Signing Certificate



Serial No.: 09/826,581 : April 5, 2001 Filed

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Attorney's Docket No.: 11145-007001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Reg. No. 42,600

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